



Alexander H. Tran

Of Counsel

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 **310.201.2100**

Practices:

Securities Fraud and Insider Trading, Internal Investigations, Health Care Fraud and Regulatory Enforcement, Business and Financial Crimes, Securities Litigation, Complex Business Litigation

Alexander H. Tran is a former federal prosecutor and experienced trial lawyer who draws on his previous experience in civil and criminal litigation to counsel clients facing government enforcement actions and investigations.

In addition to his criminal defense practice, Alexander is experienced in representing corporations and financial institutions in securities litigation, mergers and acquisitions-related litigation, multi-state products liability class actions, and general commercial civil litigation. He has represented individuals and companies across a broad range of industries, including the automotive, consumer goods, finance, healthcare, and technology sectors.

He previously served as a law clerk for the Honorable Kiyo A. Matsumoto of the United States District Court for the Eastern District of New York and the Honorable Denny Chin of the United States Court of Appeals for the Second Circuit. Alexander earned his J.D. from Columbia Law School, where he was a Harlan Fiske Stone Scholar. He was formerly a litigation associate at Davis Polk & Wardwell LLP in New York.

Education, Clerkship & Admissions

- Columbia Law School, J.D., Harlan Fiske Stone Scholar, 2017
- University of California, Berkeley, B.A., 2013

- Law Clerk to the Hon. Kiyo A. Matsumoto, U.S. District Court, Eastern District of New York
- Law Clerk to the Hon. Denny Chin, U.S. Court of Appeals, Second Circuit

- Ninth U.S. Circuit Court of Appeals
- U.S. District Court, Central District of California
- California, 2021
- New York, 2018

Notable Matters

Representative matters at Bird Marella

Obtained a complete defense victory for brokerage firm in a FINRA arbitration involving more than \$40 million dollars in alleged damages. Although motions to dismiss are rarely granted in FINRA arbitrations, the three-arbitrator Panel unanimously granted Bird Marella's motion to dismiss the case at the close of the claimant's evidence.

Obtained a dismissal of a criminal complaint alleging failure to report suspected elder abuse. In a matter of first impression, Alexander convinced the court that the statute of limitations cannot be extended in cases of intentional concealment of suspected abuse.

Representative matters at the U.S. Attorney's Office

Investigated and prosecuted federal criminal cases involving wire fraud, bank fraud, tax fraud, aggravated identity theft, money laundering, conspiracy, drug offenses, and violent crimes.

Secured convictions on all counts in a jury trial involving five armed robberies across the San Fernando Valley over a span of two weeks. At trial, Alexander delivered the closing argument and examined numerous key witnesses including the lead LAPD detective, a forensic expert, and multiple witnesses.

Secured convictions on all counts in a jury trial involving the unlawful possession multiple firearms and ammunition. Alexander delivered the opening argument and examined multiple law enforcement witnesses.

Obtained a guilty plea from a money launderer for unlicensed money transmitting involving over \$25 million dollars in funds unlawfully transferred in the United States.

Obtained a guilty plea and an approximately \$1 million dollar restitution award against a fraudulent tax preparer for committing wire fraud against vulnerable victims in Los Angeles.

Articles & Publications

- Co-Author, "Bank Secrecy Act exposes failure of Congress in articulating its intent," *Daily Journal*, October 2022
- Co-Author, "Renewed fight against corporate crime," *Daily Journal*, November 2021